

## Checklist – Change of the Regulations for the Award of Doctoral Degrees and TUM-GS Statutory Regulations

**Applying to doctoral candidates who started their doctorate after January 1<sup>st</sup>, 2014**

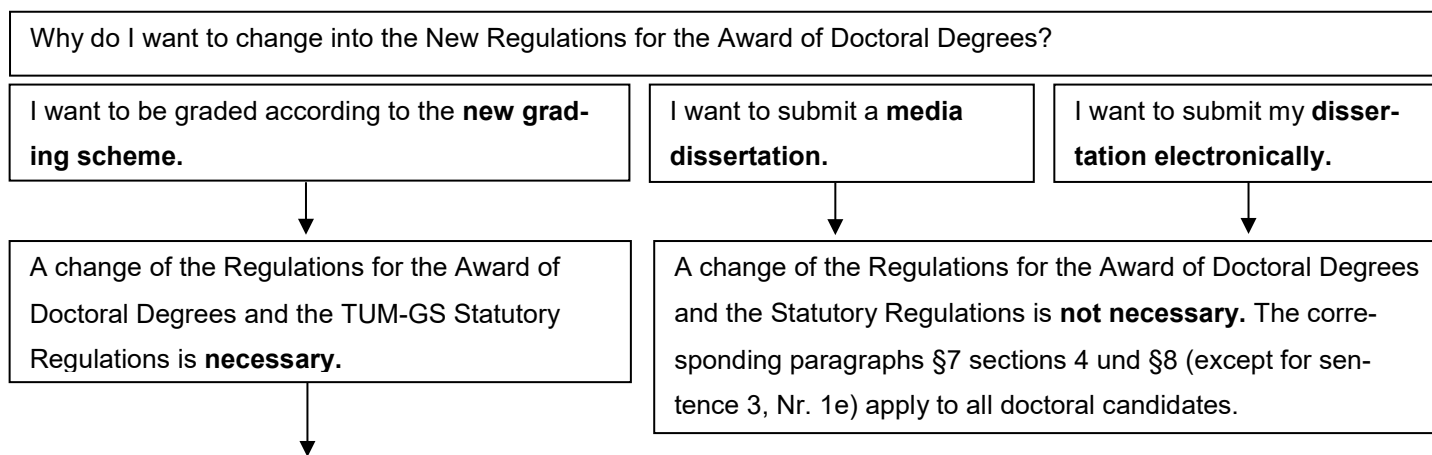
In general, the **new Regulations for the Award of Doctoral Degrees** and the new **TUM-GS Statutory Regulations** effective October 1st 2021 apply to **all doctoral candidates** at TUM. However, some paragraphs are excluded. Therefore, please check the closing remarks in **§29** (Regulations Doctoral Degrees) and **§ 19** (Statutory Regulations), which list these exceptions.

If you choose to switch into the current Regulations for the Award of Doctoral Degrees and TUM-GS Statutory Regulations, these aspects including new requirements for your doctoral program will also change. This is why you **should carefully consider whether a change of Regulations makes sense or could even lead to disadvantages** for you. While the given checklist can support your decision, you should **always read the Regulations for the Award of Doctoral Degrees and the Statutory Regulations thoroughly** and **contact your Graduate Center** if you have any questions.

**The following aspects will change for you if you decide to switch into the new regulations:**

- Changes concerning the grading of the dissertation, cf. §§11,17
- Specific regulation of the degree-awarding institutions concerning publication-based dissertations, cf. § 7 section 3
- Possibility of being removed from the Doctoral Candidacy List in case of missing data confirmation, cf. § 6 section 2 sentence 5
- Documentation and basis of the feedback meeting, cf. § 8 sentence 3 Nr. 1 e, Statutory Regulations § 16 section 8
- Discussion in the international scientific community requires acceptance in a peer-reviewed process, cf. Statutory Regulations § 16 section 9

**Decision support:**



**Please note:**

- ✓ In case of a publication-based dissertation: Contact your degree-awarding institution concerning **specific requirements for publication-based dissertations**.
- ✓ The **documentation of the feedback meeting** is now part of the mandatory qualification elements. The meeting is based on a lecture open to members of the university or a written interim report.
- ✓ The **discussion in the international scientific community** now requires **acceptance** (not only submission) of publications/conference contributions in a peer-reviewed process. The Graduate Centers may have further requirements.

→ Please note that after a change of regulations the Graduate Centers will have to check the mandatory qualification elements in DocGS again. Some steps in your process tree will thus have to be reset. If you had one already, you will also have to fill out the submission form again. Please schedule accordingly.